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APPLICATION FOR LETTERS PATENT

**System and Method for Robust Image Representation  
Over Error-Prone Channels**

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1      **RELATED APPLICATIONS**

2      This is a continuation of U.S. serial number 09/561,686, filed May 1, 2000,  
3      which issued as U.S. Patent No. \_\_\_\_\_ on \_\_\_\_\_. This continuation  
4      further claims priority to the provisional application number 60/169,066 entitled  
5      "Robust Image Representation Over Error-Prone Channels," filed December 3,  
6      1999 by Jun Xin, et al., and commonly assigned to the assignee of the present  
7      invention.

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9      **TECHNICAL FIELD**

10     This invention relates to systems and methods for coding digital images for  
11    transmission over error-prone communication channels.

12

13     **BACKGROUND**

14     Communication has traditionally been carried out over very reliable  
15    networks, such as phone lines and fiber optics. With the exploding popularity of  
16    newer mediums, such as the Internet and wireless networks (e.g., satellite, cellular,  
17    etc.), an increasingly larger percentage of communication is being conducted over  
18    more non-traditional networks. The newer networks offer numerous advantages  
19    over their traditional counterparts. Unfortunately, reliability is not necessarily one  
20    of them. In comparison to the older communication channels, some of the newer  
21    forms of communications channels are prone to errors.

22     Delivering multimedia content over error-prone networks, such as the  
23    Internet and wireless networks, presents difficult challenges in terms of both  
24    efficiency and reliability. Digital images and video pose a particular problem

1 because they often represent the largest percentage or most significant portion of  
2 the multimedia content.

3 Traditionally, images being transmitted over error-prone channels were first  
4 compressed using variable length coding (VLC). For example, JPEG and MPEG  
5 both use variable length coding. Unfortunately, one characteristic of variable  
6 length coding is that it is very sensitive to errors. A single bit error usually results  
7 in loss of synchronization and contents between the previous and next  
8 synchronization codewords are usually discarded. Also, once an error is  
9 introduced into the bitstream at the receiver, it is difficult to recover the entire  
10 image. In error-prone channels, errors are likely to occur and thus variable length  
11 coding is not a suitable coding technique.

12 One prior art solution to coding images in a manner that is less sensitive to  
13 errors is to use vector quantization (VQ). Vector quantization is a fixed length  
14 coding scheme that, unlike the popular VLC scheme, limits bit errors within a  
15 codeword such that no error propagation takes place. Thus, VQ is a more  
16 preferred coding technique than VLC.

17 A separate problem concerning communication over error-prone channels  
18 is the ability to ensure delivery of at least the most important parts of a  
19 transmission. That is, different parts of any given bitstream are more important  
20 than other parts. In the video context, for example, lower frequency components  
21 are considered to be more important than higher frequency components.  
22 Important components deserve higher protection over an error-prone channel to  
23 ensure proper delivery.

24 To address the coding efficiency, another prior art coding scheme uses a  
25 fixed-length coding. The coding scheme is based on vector transformation (VT)

1 and vector quantization (VQ), and is sometimes short-handedly referred to as  
2 "VTQ". VTQ outperforms scalar transform and scalar quantization in coding  
3 efficiency. The fundamental reason is that the vector transform reduces inter  
4 vector correlation while preserving intra vector correlation in a better manner than  
5 scalar transform. With this property, the efficiency of vector quantization is  
6 significantly improved.

7 The VTQ coding scheme is described in more detail in Weiping Li and Ya-  
8 Qin Zhang, "Vector-Based Signal Processing and Quantization for Image and  
9 Video Compression", Proceedings of the IEEE, Vol. 83, No. 2, February 1995,  
10 and in Weiping Li and Ya-Qin Zhang, "New insights and results on transform  
11 domain VQ of images," in Proc. IEEE Conf. Acoustics, Speech, and Signal  
12 Processing, Minneapolis, MN, Apr. 1993, pp. V607-V612.

13 The coding scheme described below is an improvement of the Li and Zhang  
14 coding scheme.

15

## 16 **SUMMARY**

17 An image distribution system has a source that encodes digital images and  
18 transmits them over an error-prone channel to a destination. The source has an  
19 image coder that processes the digital images using vector transformation followed  
20 by vector quantization (i.e., VTQ processing). The VTQ processing produces  
21 groups of vectors and quantized values that are representative of the images. The  
22 image coder orders the vectors and assigns vector indexes to the vectors such that  
23 a bit error occurring at a less significant bit in a vector index results in less  
24 distortion than a bit error occurring at a more significant bit.

1      Depending upon the format and the capabilities of the source and  
2 destination, the image coder may allocate different numbers of bits to different  
3 groups of vectors to achieve the best quality given a fixed number of total bits.  
4 For example, DC vectors are allocated comparatively more bits, low frequency  
5 vectors are allocated the next highest number of bits, and so forth. The image  
6 coder relies on an optimized bit allocation map for this allocation process.

7      The source also has a UEP (Unequal Error Protection) coder that layers the  
8 vector indexes according to their significance. Two possible approaches include  
9 frequency-based UEP and bit-plane based UEP. With frequency-based UEP, the  
10 UEP coder deems the DC vector and lower frequency vectors as more important  
11 and thus protects them at the expense of higher frequency vectors. With bit-plane  
12 based UEP, the bits forming the vector indexes are layered in planes and the  
13 planes encompassing the more significant bits are deemed of higher importance in  
14 comparison to other planes, and hence receive greater protection.

15     The UEP coder may also assign channel codes to each of the layers. In one  
16 implementation, the UEP coder assigns Rate Compatible Punctured Convolution  
17 (RCPC) channel codes with different code rates to protect the various layers.

18     The source outputs a bitstream that includes the image values, the bit  
19 allocation map, and the layered vector indexes. The source transmits the bitstream  
20 over the communication channel to the destination.

21     The destination receives the bitstream and is equipped with a decoder to  
22 decode the bitstream. The decoder recovers the vectors using the vector indexes  
23 and bit allocation map and reconstructs the image from the image values and the  
24 vectors.

1      **BRIEF DESCRIPTION OF THE DRAWINGS**

2      The same numbers are used throughout the drawings to reference like  
3      elements and features.

4      Fig. 1 is a block diagram of an image distribution system in which a source  
5      encodes a digital image and transfers the image over an error-prone channel to a  
6      destination.

7      Fig. 2 is a flow diagram of a general method implemented by the  
8      distribution system of Fig. 1.

9      Fig. 3 is a flow diagram showing a step from the Fig. 2 method in more  
10     detail. The method illustrated in Fig. 3 pertains to processing an image using  
11     vector transformation followed by vector quantization.

12     Fig. 4 is a diagrammatic illustration of an exemplary vector transform that  
13     may be utilized in the method of Fig. 3.

14     Fig. 5 is a flow diagram of a method for allocating bits during one part of  
15     the image processing method of Fig. 3.

16     Fig. 6 is a diagrammatic illustration of exemplary bit allocation maps used  
17     by the bit allocation method of Fig. 5.

18     Fig. 7 is a flow diagram showing another step from the Fig. 2 method in  
19     more detail. The method illustrated in Fig. 7 pertains to generating and ordering a  
20     vector codebook and may be implemented at the source in the image distribution  
21     system of Fig. 1.

22     Figs. 8 and 9 are diagrammatic illustrations of exemplary codebooks in a  
23     pre-ordered state (Fig. 8) and a post-ordered state (Fig. 9).

24     Fig. 10 is a diagrammatic illustration of a bit-plane layering scheme used  
25     by the source in the image distribution system of Fig. 1.

1 Fig. 11 is a diagrammatic illustration of a bitstream structure produced by  
2 the source and transferred to the destination of the image distribution system in  
3 Fig. 1.

4 Fig. 12 is a flow diagram showing another step from the Fig. 2 method in  
5 more detail. The method illustrated in Fig. 12 pertains to decoding an image and  
6 may be implemented at the destination in the image distribution system of Fig. 1.  
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1      **DETAILED DESCRIPTION**

2      This disclosure describes a coding scheme for coding digital images for  
3      transmission over error-prone networks, such as the Internet and wireless  
4      networks. The digital images may be still images (e.g., photographs, drawings,  
5      etc.), frames from video, or the like. The coding scheme may be implemented in  
6      many different environments. One exemplary implementation is described below.

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8      **Exemplary Architecture**

9      Fig. 1 shows an image distribution system 20 in which a source 22 stores  
10     and distributes digital images (e.g., still images, video frames, etc.) over a network  
11     24 to a destination 26. The network is representative of many diverse types of  
12     networks, including the Internet and wireless networks (e.g., satellite, cellular, RF,  
13     etc.). Communication channels through network 24 are assumed to be inherently  
14     prone to error in that packets of data may be lost or adversely modified in route  
15     between the source 22 and destination 26, thereby rendering image recovery at the  
16     destination 26 difficult or impossible.

17     The source 22 may be implemented in many ways, including as a network  
18     server, an Internet server, a transmitter for wireless communications, and the like.  
19     The source 22 has a memory 30 to store digital image files (IF) 32, which may be  
20     still images, video data, or some form of multimedia content. The source 22 also  
21     has a processing system 34 to encode the digital images and distribute them over  
22     the network 24. The processing system 34 has an encoder 40 to code the images.  
23     The processing system may also include an operating system (not shown).

24     The components of encoder 40 are illustrated as functional blocks. The  
25     encoder 40 may be implemented in software, firmware, and/or hardware. It may

1      be embodied as a separate standalone module, constructed as part of a processor or  
2      integrated circuit chip, or incorporated into an operating system or other  
3      application.

4      The encoder 40 has a VTQ coder 42 and a UEP coder 44. The VTQ coder  
5      42 encodes an image taken from memory 30 (or another source) using a  
6      combination of vector-based signal processing and vector-based quantization.  
7      “VTQ” is a short-hand acronym meaning a combined image process involving  
8      vector transformation (VT) followed by vector quantization (VQ). VTQ enables  
9      very robust and efficient coding of the image. The VTQ coder 42 produces  
10     quantized image data and vector information that can be encoded into a bitstream  
11     for transmission over the network 24 to the destination 26.

12     The UEP (Unequal Error Protection) coder 44 layers portions of the  
13     bitstream into multiple layers in order of their significance in reproducing the  
14     image. The UEP coding scheme recognizes that certain parts of the bitstream  
15     contain more important information than others, and attempts to make sure that at  
16     least the more important parts of the bitstream are properly transmitted to the  
17     destination.

18     The UEP coder 44 also assigns different significance levels to the layers of  
19     the coded bitstream. In one implementation, the UEP coder 44 assigns Rate  
20     Compatible Punctured Convolution (RCPC) channel codes with different code  
21     rates to protect the various layers or portions of the bitstream. RCPC offers UEP  
22     to coded bits with different importance in reconstruction and different error  
23     sensitivity, without disrupting performance. Another advantage is that a single  
24     Viterbi decoder can be used to decode a family of RCPC codes with different rates  
25     since all coded bits of a high rate punctured code are embedded into the lower rate

1 codes of the same family. RCPC is well known and is described in more detail in  
2 article by Joachim Hagenauer, entitled “Rate-Compatible Punctured Convolutional  
3 Codes (RCPC Codes) and their Applications”, IEEE Trans. On Comm., Vol.36,  
4 No.4, April 1988.

5 The VTQ coder 42 has a vector transformer 50 and a vector quantizer 52 to  
6 process the image. A codebook generator/orderer 54 generates codebooks for the  
7 VTQ process. The codebook generator 54 builds multiple ordered codebooks 56  
8 from many diverse training images. In one implementation, the codebook  
9 generator employs essentially the same process used in the VTQ process to  
10 process the training images.

11 Each codebook lists multiple vectors that are represented by a  
12 corresponding binary index. Without the ordering process, each codebook would  
13 contain vectors randomly distributed in the code vector space. The indices would  
14 then be assigned to the vectors. With this random arrangement, a one-bit error  
15 between the indices may introduce significant error into the coded bitstream.

16 Accordingly, to minimize this potential for error, the codebook  
17 generator/orderer 54 implements an ordering process that organizes the codebook  
18 indices in a manner that achieves better error protection. More particularly, the  
19 codebook generator/orderer 54 arranges the vectors and assigns corresponding  
20 indices in a way that minimizes the distance between sequential neighboring  
21 vectors so that any error in the associated indices will not cause significant errors  
22 during decoding and recovery of the image.

23 The UEP coder 44 has a layering component 58 that layers the coded  
24 bitstream. More particularly, the layering component 58 layers the vector indexes  
25 according to their significance. Two possible approaches include frequency-based

1       UEP and bit-plane based UEP. With frequency-based UEP, the UEP coder deems  
2       the DC vector and lower frequency vectors as more important and thus protects  
3       them at the expense of higher frequency vectors. With bit-plane based UEP, the  
4       bits forming the vector indexes are layered in planes and the planes encompassing  
5       the more significant bits are deemed of higher importance in comparison to other  
6       planes, and hence receive greater protection. Each layer is then further encoded  
7       using a channel code.

8              The source 22 outputs a UEP encoded bitstream that includes the quantized  
9       image values, a bit allocation map used during quantization, and the layered vector  
10      indexes. The source 22 transmits the bitstream over the communication channel to  
11      the destination 26.

12             The destination 26 may be embodied in a many different ways, including a  
13       computer, a handheld entertainment device, a set-top box, a television, a cellular  
14       phone, and so forth. The destination 26 is equipped with a processing system 60, a  
15       memory 62, and one or more media output devices 64. The processing system 62  
16       has a decoder 70 and may optionally include an operating system (not shown).

17             The decoder 70 has a UEP decoder 72 and combining component 74 to  
18       separate the layers and recombine the layers into a coded bitstream. When  
19       CRC/RCPC is employed, the UEP decoder 72 may be implemented as a Viterbi  
20       decoder. The bitstream is passed to an inverse vector quantizer 76 and an inverse  
21       vector transformer 78, which reconstruct the image using the vectors indexed via  
22       the vector indexes to the ordered codebook 56 and the bit allocation map.

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1           **General Operation**

2       Fig. 2 shows a general image encoding and decoding process implemented  
3       by the architecture 20 in Fig. 1. The process is described generally here, and  
4       certain steps are described below in more detail.

5       At step 200, the encoder 40 at source 22 processes an image using some  
6       form of vector transformation followed by vector quantization (i.e., VTQ).  
7       Essentially, with VTQ processing, a source image is transformed into vectors  
8       using a vector transform, and the vectors are subsequently quantized using vector-  
9       based quantization. The result is quantized values that can be coded into a  
10      compressed bitstream. VTQ is well known and described in greater detail in  
11      Weiping Li and Ya-Qin Zhang, "Vector-Based Signal Processing and  
12      Quantization for Image and Video Compression", Proceedings of the IEEE, Vol.  
13      83, No. 2, February 1995. One exemplary VTQ process is described below in  
14      more detail with reference to Figs. 3-6.

15      In addition to the conventional VTQ processing, the encoder employs an  
16      ordered codebook 56 that is generated by codebook generator/orderer 54 (step  
17      202). The codebook contains vectors and corresponding binary indexes that are  
18      ordered to minimize the potential for small errors in the indices to cause  
19      significant errors during decoding and recovery of the image. One exemplary  
20      codebook generation and ordering process is described below in more detail with  
21      reference to Figs. 7-9.

22      Usually not all the parts in encoded bitstream are equally important or  
23      sensitive to channel noise over the network 24. For example, the MSB (most  
24      significant bit) of a quantized scalar is much more sensitive to error in comparison

1 to less significant bits of the same scalar since an erroneous MSB will result in  
2 more distortion than any other erroneous bit.

3 Hence, at step 204, the image encoder 40 (via layering component 58)  
4 encodes the bitstream as a layered bitstream and assigns more or less error  
5 protection to the different layers depending upon their significance. This is the  
6 strategy behind unequal error protection (UEP). In essence, one source is divided  
7 into several sub-sources with different error sensitivity, and more error protection  
8 is provided to the more important sub-sources and less error protection is provided  
9 to the less important sub-sources. One exemplary layering and UEP process layers  
10 the vector indexes created by the VTQ processing of step 200. The layered  
11 indexes are ascribed varying error protection using either a bit-plane based UEP  
12 scheme or a frequency based UEP. The layers may also be assigned a channel  
13 code. One exemplary layering and UEP process is described below in more detail  
14 with reference to Fig. 10.

15 At step 206, the source 22 transmits the encoded bitstream over the error-  
16 prone network. The UEP encoded bitstream includes the quantized image values,  
17 a bit allocation map used during quantization, and the layered vector indexes. One  
18 exemplary structure of the layered bitstream output by the source 22 is discussed  
19 below in more detail with reference to Fig. 11.

20 At step 208, the destination 26 receives the encoded bitstream. The  
21 decoder 70 at destination 26 decodes the bitstream and reconstructs the image  
22 (step 210). The decoder 70 decodes the vectors from the vector indexes and bit  
23 allocation map, and then reconstructs the image from the vectors and quantized  
24 image values. Hopefully, due to the error protection scheme, the destination  
25 receives sufficient data from the transmission to reconstruct at least a minimally

1 satisfactory image. The decoding and reconstructing process is explained below in  
2 more detail with reference to Fig. 12.

3

4 **Step 200: VTQ Coding**

5 Fig. 3 illustrates an exemplary VTQ coding process to code an image in  
6 step 200 of Fig. 2. The process is performed by encoder 40 at source 22, and more  
7 particularly, by VTQ coder 42. The steps may be performed in software,  
8 hardware, or a combination of software and hardware.

9 At step 300, the VTQ coder 42 computes a vector transform of an image.  
10 There are many kinds of vector transforms. One suitable transform is a two-  
11 dimensional vector transform, illustrated by steps 302-308. At step 302, an image  
12 is first sub-sampled into MxM sub-images.

13 Fig. 4 illustrates an original image 350 that is sub-sampled into 4x4 sub-  
14 images 352 (i.e., M=4). The sub-sampling selects pixels from regions of the  
15 original image 350 to form the sixteen reduced-size sub-images 352. At step 304  
16 in Fig. 3, each sub-image is divided into blocks of NxN pixels. Each block of  
17 N×N pixels is then transformed using a two-dimensional transform, such as  
18 Discrete Cosine Transform (DCT) or Discrete Wavelet Transform (DWT) (step  
19 306). Steps 304 and 306 are illustrated in Fig. 4, where each sub-image 352 is  
20 divided into blocks of 8x8 pixels 354 (i.e., N=8) and transformed using two-  
21 dimensional DCT.

22 The transformation produces a set of coefficients. At step 308 in Fig. 3, the  
23 corresponding coefficients of the MxM sub-images are grouped into vectors of  
24 dimension MxM. This is illustrated in Fig. 4 by regrouping the MxM sub-images  
25 into MxM vectors 356. Each vector is composed of DCT coefficients having the

1 same two-dimensional frequency. As a result, all vectors can be divided into 64  
2 groups, each of which contains all vectors having the same frequency.

3 The vector transform possesses optimal vector quantization attributes.  
4 First, there is little inter-vector correlation. The pixels in the sub-sampled  
5 images are less correlated than pixels in the original image, and the two-  
6 dimensional DCT further reduces the inter-vector correlation. Secondly, the  
7 intra-vector correlation of the corresponding DCT coefficients is very high  
8 because the MxM sub-images are highly correlated with each other.

9 With continuing reference to Fig. 3, after computing the vector transform,  
10 the VTQ coder 42 calculates the mean of every vector 356 (step 310). Each  
11 mean is then quantized as MPEG intra-coded values, with a step size of some  
12 quantization scale, say, “QP” (step 312). The VTQ coder 42 writes the resultant  
13 MPEG image values to a file stored in memory for later inclusion in the  
14 bitstream (step 314).

15 At steps 316 and 318, the mean values are reconstructed using inverse  
16 quantization and then removed from the vectors to normalize the vectors. The  
17 mean-removed vectors have mean values near zero. The normalized vectors are  
18 quantized using a vector-based quantization (VQ) (step 320).

19 At step 322, bits are allocated to various groups of vectors produced by  
20 the vector transform of step 300. The vectors might be grouped, for example,  
21 according to their frequency components so that one group contains DC vectors,  
22 another group is made up of low frequency vectors, and so forth. In the  
23 exemplary implementation, there are 64 (i.e., 8x8) groups of vectors, each with  
24 different statistics. As a result, different numbers of bits are allocated to  
25 different groups of vectors.

1           Fig. 5 illustrates the steps of one suitable bit allocation process that  
2 attempts to minimize the average distortion of all vectors. The process  
3 iteratively assigns bits to different groups of vectors depending upon the  
4 maximum AMSE (Average Mean Squared Error). At step 500, no bits are  
5 initially allocated to each group of vectors. The process then determines the  
6 group of vectors with the maximum AMSE and allocates a bit for that group  
7 (steps 502 and 504). The process continues until all bits have been assigned  
8 (step 506).

9           Fig. 6 shows three different bit allocation maps for the 8x8 groups of  
10 vectors: 4 bits/vector map 520, 8 bits/vector map 522 and 12 bits/vector map  
11 524. With the 4 bits/vector map 520, different groups of vectors are allocated  
12 with different numbers of bits. Certain vector groups are allocated six bits,  
13 whereas other vector groups are allocated three bits. This results in a spread of  
14 three bits between the highest and lowest number of bits. As the number of  
15 available bits increases, a more uniform allocation is achieved. For the 8-  
16 bits/vector map 522, the spread is only two (i.e., 9 bits to 7 bits), and complete  
17 uniformity is achieved with the 12-bits/vector map 524 (i.e., all groups of  
18 vectors are allocated 12 bits).

19           The reason for a more uniform bit allocation is due to the non-uniform  
20 quantization of the MPEG intra blocks and the limitation of actual codebook  
21 size. MPEG uses a quantization matrix to quantize the mean values, with finer  
22 quantization for lower frequency coefficients and coarser quantization for higher  
23 frequency coefficients. This makes the mean-removed vectors more uniformly  
24 distributed compared with original vectors. Due to the real implementation  
25 limit, the actual codebook size has limit. After the maximum bit-rate of a

1 codebook corresponding to a group of vector is reached, no more bits can be  
2 allocated to that group of vectors to reduce the AMSE. Thus, bits will be  
3 allocated to the group of vectors whose maximum codebook bit rate is not  
4 reached and who have the maximum AMSE

5 With reference again to Fig. 3, the final step 324 in the VTQ coding  
6 process is to write the bit allocation map used by step 322 and layered vector  
7 indexes (described below in more detail with reference to detailed steps 202 and  
8 204) into a file and save it to memory. The final bitstream produced by the  
9 source 22 includes the bit allocation map, layered vector indexes, MPEG intra-  
10 coded values saved at step 314.

11

12 **Step 202: Codebook Generation and Ordering**

13 Fig. 7 illustrates an exemplary process for generating and ordering a  
14 codebook used in the VTQ encoding, depicted as step 202 in Fig. 2. The VTQ  
15 coder 42 at source 22 performs the process. In this exemplary implementation, the  
16 codebook is initially generated by the widely used LBG algorithm, which is  
17 described in Y. Linde, A. Buzo, and R. M. Gray, "An Algorithm for Vector  
18 Quantizer Design," IEEE Trans. Commun., vol. COM-28, pp. 84-95, Jan. 1980.

19 More specifically, at step 700, the VTQ coder 42 performs vector  
20 transformation on many diverse training images (e.g., 15-20 images).  
21 Preferably, the VTQ coder employs the same vector transformation as described  
22 above with reference to Figs. 3 and 4. Next, the VTQ coder 42 calculates the  
23 means of each vector produced by the transform (step 702). The means is  
24 quantized as an MPEG intra-coded values, with a step size of QP (step 704). At  
25 steps 706 and 708, the mean values are reconstructed and removed from the

1       vectors to normalize the vectors. The codebooks are trained using the  
2       normalized vectors (step 710). Each vector has 16 elements with the same 2-D  
3       frequency generated by the 64 (i.e., 8×8) 2-D DCT coefficients. As a result, 64  
4       codebooks are generated, each of which is for vectors having the same 2-D  
5       frequency.

6       Each code vector is assigned a binary index to represent it. Initially, each  
7       codebook generated by the LBG algorithm is not “well-ordered”. “Well-ordered”  
8       means that the code vectors are placed in such a manner that a bit error occurring  
9       at a less significant bit in the binary index results in less distortion than a bit error  
10      at a more significant bit in the binary index. The order produced by the LBG  
11      algorithm leaves essentially random points in space, which depending upon the  
12      arrangement, may result in the unwanted situation where a one-bit error between  
13      sequential indices in the codebook introduces a significant error in the coded  
14      bitstream.

15      To minimize the potential for such error, the codebook orderer 54  
16      reorders the indices in an order that minimizes the distance between vectors so  
17      that one-bit errors in the corresponding vector indexes cause minimal problems  
18      in the coded bitstream (step 712).

19      One sorting process starts from the code vector with the minimum  
20      distance from the origin. This vector is assigned the first binary index. From  
21      that vector, the nearest neighbor is found and assigned to the second binary  
22      index. The third binary index is assigned to the nearest neighbor of the second  
23      code vector, and so forth. This procedure continues until all code vectors in the  
24      codebook are assigned binary indexes.

1 Figs. 8 and 9 illustrate the sorting process. Suppose that the codebook  
2 contains four vectors in a two dimensional space, represented as  $(x_0, y_0)$ ,  $(x_1, y_1)$ ,  
3  $(x_2, y_2)$ , and  $(x_3, y_3)$ . In reality, the codebook has many more vectors (e.g., 64), but  
4 only four are shown to demonstrate the sorting procedure. Fig. 8 shows a two-  
5 dimensional space 730 having four randomly distributed vector points, and a  
6 corresponding codebook 732. Without sorting, the vectors are randomly  
7 distributed in the codebook space. Here, vector  $(x_2, y_2)$  is closest, followed in  
8 order by  $(x_1, y_1)$ ,  $(x_0, y_0)$ , and  $(x_3, y_3)$ . Five-bit indices are then randomly  
9 assigned to the 64 code vectors, as represented by the first four indexes in the  
10 codebook 732.

11 Fig. 9 shows the two-dimensional space 730, and an ordered codebook 734  
12 that is reordered following codebook generation. The ordering process begins  
13 with the code vector located closest to the origin, which is vector  $(x_2, y_2)$ . This  
14 vector is listed first in the codebook 734 and assigned a first index “00000”. The  
15 nearest neighbor is vector  $(x_0, y_0)$ , which is listed next in the codebook 734 and  
16 assigned the next index “00001”. The nearest neighbor to vector  $(x_0, y_0)$  is vector  
17  $(x_3, y_3)$ , which is listed next in the codebook and assigned index “00010”. The  
18 nearest neighbor to vector  $(x_3, y_3)$  is vector  $(x_1, y_1)$ , which is listed next in the  
19 codebook and assigned index “00011”.

20 With reference again to Fig. 7, the codebook generation and ordering  
21 process continues until all steps of QP has been considered (steps 714 and 716).

22

23 **Step 204: Bitstream Layering and UEP**

24 The image distribution system of Fig. 1 employs an unequal error  
25 protection (UEP) scheme to protect different portions of the coded bitstream with

1 different levels of protection. Two possible ways to implement the UEP scheme  
2 are (1) bit-plane based UEP and (2) frequency-based UEP. Generally, in bit-plane  
3 based UEP, the plane encompassing the MSB of the binary indices is deemed of  
4 highest importance in comparison to other planes, and hence receives the greatest  
5 protection. In frequency-based UEP, the DC vector and lower frequency vectors  
6 are deemed more important and are protected at the expense of higher frequency  
7 vectors. These two UEP strategies are discussed separately below.

8

### 9 Bit-Plane Based UEP

10 The ordered codebook 56 produced by step 202 (Fig. 2) contains multiple  
11 binary indexes assigned to corresponding vectors. The indexes contain bits with  
12 different significance. Like scalar values, the MSB has the most significance, the  
13 next most significant bit has less significant, and so on, with the least significant  
14 bit (LSB) having the least significance. The layering component 58 layers the  
15 vector indexes of the coded bitstream by bit planes according to their significance.  
16 That is, one plane contains the MSBs of the vector indexes, a second plane  
17 contains the next MSBs of the vector indexes, and so on.

18 The number of bits used to represent each group of vectors is derived from  
19 the bit allocation process of Fig. 5. DC vectors typically require the most bits,  
20 with progressively fewer bits being allocated to frequency vectors starting with the  
21 low frequency vectors onto the high frequency vectors. This is because DC and  
22 low frequency coefficients tend to be harder to code than high frequency  
23 coefficients. Accordingly, when coding the bit planes, the highest bit-plane  
24 contains DC vector indexes only or DC vector indexes plus a few low-frequency

1 AC vector indexes. The next highest bit-plane contains AC vectors having a little  
2 higher frequency that were not contained in the highest bit-plane.

3 Fig. 10 illustrates a bit-plane layering scheme in which four vectors (DC,  
4 AC1, AC2, and AC3) are allocated correspondingly fewer bits, as shown by table  
5 1000. These vectors are converted into four bit planes with increasingly more bits,  
6 as shown by table 1002. Here, the highest bit plane 0 contains the most  
7 significance because it contains the MSB of the DC vector. As mentioned in the  
8 bit allocation section, mean-removed vectors tend to have uniform bit assignment.  
9 However, this does not alter the fact that higher bit-planes show more significance.

10 The bit-plane layers are encoded into the bitstream in order, starting with  
11 the highest significant bit-plane down to the least significant bit-plane. In one  
12 implementation, with each bit-plane, the bits of vector indexes are put into the  
13 bitstream in a ZIG\_ZAG\_SCAN order, as used in MPEG coding.

14 After the bit planes are formed by the layering scheme and placed in the  
15 bitstream, the UEP coder 44 assigns different codes to different bit-planes to  
16 reflect their importance. In this example, bit-plane 0 is assigned the highest  
17 significance level, bit-plane 1 is assigned a lower significance level, and so on. In  
18 the context of RCPC, this means that bit-plane 0 is coded with the highest RCPC  
19 code rate, while the lowest bit-plane 3 is coded with the lowest RCPC code rate.

20 Even more specifically, the channel code assigned by the UEP coder 44  
21 may be a concatenate of an outer CRC (Cyclic Redundancy Coder) code and an  
22 inner RCPC code. CRC has extremely low computational complexity and is used  
23 for error detection. The data in each layer is first partitioned into small bit blocks  
24 of size 256 bits. For each bit block, a 16-bit CRC checksum code and 4 zero  
25 refreshing bits (which means the number of memory of the convolutional code is

1 4) are added. This concatenate code is described in an article by H. Li, C.W.  
2 Chen, entitled "Bi-directional synchronization and hierarchical error correction for  
3 robust image transmission," SPIE Conf. Visual Communication and Image  
4 Processing '99, vol. SPIE 3653, (San Jose, CA), pp. 63-72, Jan., 1998.

5

6 **Frequency-Based UEP**

7 Frequency-based UEP is premised on the notion that low frequency  
8 coefficients have more impact on the visual quality of images than higher  
9 frequency coefficients. All DC vector indexes are layered as the first layer. The  
10 lowest AC vector indexes are layered as the second layer. This continues until the  
11 highest AC vector indexes are placed in a layer.

12 As with the bit-plane case, the layers are put into the bitstream in order,  
13 starting with the highest layer down to the least significant layer. The bits of  
14 vector indexes are put into the bitstream in a ZIG\_ZAG\_SCAN order, as used in  
15 MPEG coding. The UEP coder 44 assigns different codes to different frequency  
16 layers to reflect their importance. In this example, the layer with DC vector  
17 indexes is assigned the highest significance level (e.g., highest RCPC code rate),  
18 followed by the layer with the lowest AC vector indexes, and so on.

19

20 **Exemplary Coded Bitstream Structure**

21 The image encoder 40 outputs a bitstream that is layered to support an UEP  
22 scheme. The bitstream includes three components: (1) the MPEG intra-coded  
23 mean values computed during the VTQ processing of Fig. 3; (2) the bit  
24 allocation map used by the VTQ processing; and (3) the layers of vector  
25 indexes.

1 Fig. 11 shows an exemplary structure 1100 of the bitstream generated by  
2 image encoder 40 of Fig. 1. The bitstream structure 1100 includes a field 1102  
3 that holds the MPEG intra-coded mean values computed during the VTQ coding  
4 step 200 (Figs. 1 and 3). The MPEG mean values field 1102 contains a list of  
5 sixteen marker/slice pairs 1104. The markers are equivalent to start codes as used  
6 in MPEG to identify the start of slices. One of the markers 1106 follows the mean  
7 values 1102 in the bitstream 1100. The markers also assist in resynchronization in  
8 case of bit errors. Each corresponding slice contains one row of NxN block  
9 coefficients produced during the vector transformation process.

10 A bit allocation map 1108 follows the marker 1106. The bit allocation map  
11 1108 is used to allocate bits to various groups of vectors, as described in step 322  
12 of Fig. 3 and more particularly, with reference to Fig. 5. The bit allocation map  
13 1108 includes a listing of the bits allocated for each group of vectors 1110,  
14 including bits for DC vectors, bits for low frequency vectors (i.e., AC01 vectors),  
15 and so on. Each item in the bit allocation map is fixed to four bits.

16 The vector indexes 1112 are appended to the bit-allocation map 1108 in the  
17 bitstream 1100. The vector indexes 1112 are coded into the bitstream as multiple  
18 layers 1114 according to their significance as determined in the bitstream layering  
19 and UEP step 204 of Fig. 2. Each layer has a different meaning depending upon  
20 the layering scheme used, bit-plane based or frequency based.

21 The bitstream structure 1100 is advantageous because it contains not only  
22 the coded image components, but also the bit allocations for various groups of  
23 vectors and the layered indices used for unequal error protection.

24

25 **Step 210: Bitstream Decoding and Image Reconstruction**

1       Fig. 12 illustrates an exemplary process for decoding the bitstream and  
2       reconstructing the image, which is shown as step 210 in Fig. 2. The decoder 70 at  
3       destination 26 performs the process.

4       At step 1200, decoder 70 decodes and reconstructs the MPEG intra-coded  
5       mean values 1102 from the bitstream 1100. The decoder 70 then decodes the bit  
6       allocation map 1108 and the vector indexes from the bitstream 1100 (steps 1202  
7       and 1204). The decoder 70 uses the vector indexes to index the ordered codebook  
8       and reconstruct the corresponding vectors (step 1206). The vectors are allocated  
9       the number of bits stipulated by the bit allocation map.

10      At step 1208, the decoder 70 adds the mean values 1102 back onto the  
11     vectors to recapture essentially the original vectors produced from the source  
12     image. The vectors are then passed through an inverse vector transform to  
13     reconstruct the image (step 1210). The image is then saved to memory at the  
14     destination (step 1212).

15

### 16       Conclusion

17      Although the invention has been described in language specific to structural  
18     features and/or methodological steps, it is to be understood that the invention  
19     defined in the appended claims is not necessarily limited to the specific features or  
20     steps described. Rather, the specific features and steps are disclosed as preferred  
21     forms of implementing the claimed invention.